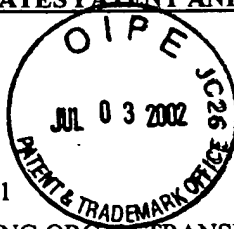


MP/ #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Christian P. Larsen et al.  
Serial No.: 10/057,228<sup>8</sup>  
Filed: January 25, 2002  
Docket: D0136NP/30436.58USU1  
Title: METHODS OF INDUCING ORGAN TRANSPLANT TOLERANCE AND CORRECTING  
HEMOGLOBINOPATHIES



CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this paper or fee is being deposited with the United States Postal as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on June 25, 2002.

By: Renato Marco P. Domingo  
Name: Renato Marco P. Domingo

35 N. Arroyo Parkway, Suite 60  
Pasadena, California 91103  
June 25, 2002

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR §1.8.
- ☒ Communication in Response to Notice to File Missing Parts of Application dated April 18, 2002 Filing Date Granted and Petition for One-Month Extension of Time
- ☒ Exhibit 1 - Copy of Notice to File Missing Parts of Application
- ☒ Exhibit 2 - Executed Combination Declarations and Powers of Attorney
- ☒ A check in the amount of \$240.00 to cover the filing fee
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

MANDEL & ADRIANO

35 No. Arroyo Parkway, Suite 60  
Pasadena, California 91103  
(626)395-7801

By: Sarah B. Adriano  
Name: Sarah B. Adriano  
Reg. No.: 34,470  
Initials: SBA

#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057/288	01/25/2002	Christian P. Larsen	D0136NP

23914  
STEPHEN B. DAVIS  
BRISTOL-MYERS SQUIBB COMPANY  
PATENT DEPARTMENT  
P O BOX 4000  
PRINCETON, NJ 08543-4000



COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 1849  
FORMALITIES LETTER  
"OC000000007892887"

Date Mailed: 04/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/03/2002 EARESHY1 00000000 10057288

11-FC-106

07/03/02

Adjustment date: 07/25/2002 EARESHY1  
07/25/2002 EARESHY1 00000000 10057288  
11-FC-106

07/25/02

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130.00 UP  
11-FC-106

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ORIGINALLY FILED

Dkt. 30436.58USU1/SBA/HP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Christian P. Larsen, et al.

Serial No.: 10/057,228<sup>288</sup>

Filed: January 25, 2002

For: METHODS OF INDUCING ORGAN TRANSPLANT TOLERANCE AND  
CORRECTING HEMOGLOBINOPATHIES



Examiner: Not yet known

Group Art Unit: Not yet known

35 N. Arroyo Pkwy.  
Pasadena, California 91103  
June 25, 2002

Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**COMMUNICATION IN RESPONSE TO NOTICE TO FILE  
MISSING PARTS DATED APRIL 18, 2002, FILING DATE GRANTED  
AND PETITION FOR ONE MONTH EXTENSION OF TIME**

The U.S. Patent Office issued a Notice to File Corrected Application Papers, dated April 18, 2002, in connection with the above-referenced patent application. A response to the Notice was due June 18, 2001. A one-month extension of time for responding to the Notice is hereby requested. The required fee for this one-month extension of time as prescribed by 37 C.F.R. §1.17(a)(1) is ONE HUNDRED AND TEN DOLLARS, \$110.00. A check in the amount of \$240.00 (\$110.00 for one-month extension of time plus \$130.00, the required late filing surcharge for oath or declaration) is enclosed. A response to the above Notice with a one-month extension of time is now due July 18, 2002. Accordingly, this response is being timely filed.

A copy of the above-referenced Notice is submitted herein as Exhibit 1.

07/03/2002 EAREGAY1 00000039 10057228

02 FC:115 110.00

Adjustment date: 07/25/2002 EAREGAY1  
07/25/2002 EAREGAY1 00000039 10057228  
02 FC:115 110.00

07/25/2002 EAREGAY1 00000039 10057228 110.00 02 FC:115

Applicants: Christian P. Larsen et al.  
U.S. Serial No. 10/057,288  
Filed: January 25, 2002  
Page 2

In the Notice, the Patent Office states that the subject application has been accorded an Application Number and Filing Date. However, the Notice states that a properly signed Oath or Declaration is missing. Applicants submit herein under 37 CFR 1.63, the signed Declarations as Exhibit 2. Applicants also submit herein a check for \$240.00 that includes \$130.00 to cover the surcharge for the late filing of the declarations under 37 CFR 1.16(I).

No fee, other than the \$240.00 fee to cover the surcharge for the late filing of the declarations and petition for one-month extension of time, is deemed necessary in connection with the filing of this Response. However, if any fee is necessary, applicants hereby authorize the Patent Office to charge any additional fee to Deposit Account No. 50-0306.

Respectfully submitted,

Sarah B. Adriano

Sarah B. Adriano  
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SaraLynn Mandel  
Registration No. 31,853  
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